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PTO/SB/21
OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	10/506,712
		Filing Date	September 7, 2004
		First Named Inventor	Lee Underwood et al.
		Group Art Unit	1724
		Examiner Name	To be Assigned
Total Number of Pages in This Submission	5	Attorney Docket Number	8830-294 (200564)

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) – Figs. <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): PTO 1449
Remarks:		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm Name	Drinker Biddle & Reath LLP	
Signature		
Printed Name	Robert E. Cannuscio – 36,469	
Date	December 13, 2005	
CERTIFICATE OF MAILING UNDER 37 CFR 1.8		
I hereby certify that this paper, along with any documents referred to as being enclosed therewith, is being deposited with the United States Postal Service in an envelope addressed to U.S. Patent Office, Assistant Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:..		
Typed or printed name	Laura M. McNeely	
Signature		Date: December 13, 2005



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Patent Application of
Lee Underwood et al.

Attorney Docket:
8830-294 (200564)

Serial No. 10/506,712

Group Art Unit: 1724

Filed: September 7, 2004

Examiner: Not Yet Assigned

For: Water Filter And Treatment System
and Component

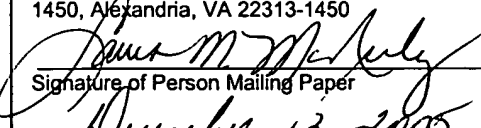
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, the following references listed below and on the accompanying Form PTO-1449 are being identified in this application.

This Information Disclosure Statement is being filed before the mailing of a first office action on the merits. Accordingly, no certification, petition, or fee are required. 37 C.F.R. §1.97(b)(1).

CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8(a)	
I hereby certify that this paper, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service, on the date indicated below, with sufficient postage, as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450	
 _____ Signature of Person Mailing Paper	_____ Date

U.S. Patents

4,720,800
5,152,252
5,276,432
5,294,916
5,296,655
5,606,516

The Examiner is respectfully requested to review the references identified herein and make them of record in the instant application as required by M.P.E.P. §609.

The references listed in this IDS comprises the most pertinent prior art known to applicant and its attorneys as of the date hereof. This IDS should not be construed as a representation that the cited references are material or that no better art exists.

It is requested that the Examiner initial the enclosed duplicate substitute PTO Form 1449, and return one copy to Applicants' undersigned representative with the next action.

Respectfully submitted,
LEE UNDERWOOD ET AL.

By: 

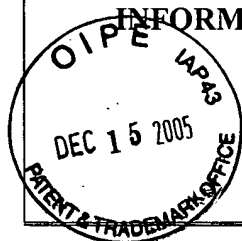
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SUBSTITUTE FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
8830-294 (200564)SERIAL NO.
10/506,712

INFORMATION DISCLOSURE CITATION

APPLICANT:
Lee Underwood et al.FILING DATE
September 7, 2004GROUP:
1724

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	AA	4,720,800	01/1988	Suzuki et al.			
	AB	5,152,252	10/1992	Bolton et al.			
	AC	5,276,432	01/1994	Travis			
	AD	5,294,916	03/1994	Bolton et al.			
	AE	5,296,655	03/1994	Sargent et al.			
	AF	5,606,516	02/1997	Douglas et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	